



Analytical Study on Retaining walls- Static and Dynamic Ms Sneha1 R 1ahande^{*1}

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ABSTRACT

The dynamic interaction of retaining walls with the retained soil (wall-soil-interaction] and of structures with the soil underlying their foundation [soil-structure interaction], have been examined by a number of researches in the past. Of much interest is the dynamic 'version' of this phenomenon [which incorporates the 'static' version] where all the three components [wall, soil, structure] respond dynamically and affect the response and distress of each other. Soil-Structure Interaction till the present date is not been sufficiently investigated or is either ignored.

In the present study, using numerical 2-D simulation, the influence of the different types 0f s0i1 0n the different heights 0f the wall is addressed. A cantilever retaining wall is considered and is been modeled for the s0i1-structure interaction using finite element package SAP2000 Version 14.0.0. The response of a cantilever retaining wall are studied considering six degrees 0f freed0m system. For the validation purpose of the retaining wall, support conditions are considered to be fixed. For the analysis, the inputs are density of concrete, modulus of elasticity of concrete, density and SBC of soi1, modulus of elasticity of soi1, angle of internal friction and 10ading (active and passive earth pressure). The targeted outputs are found as seismic base shear, fundamental natural period and maximum lateral displacement. Finally the response spectrum inputs are given to the retaining wall for all the three types of soils (soft, medium, soft rock and hard rock) and three types 0f seismic zones (III, IV and V). Based on the present studies going on globally on SSI it can be concluded that neglecting the same would sometimes result in unsafe seismic design and can lead to dangerOus situations.

After the analysis, it was observed that the percentage variation in the deflection is 900% (avg) towards the fixed end and converges to 1% towards the free end when compared with classical method. As the stiffness of the soil increases that is in soil 4 there is a reduction in deflection and as the height of the retaining wall increases there is an increase in the deflection at their free ends. The deflection increases with the increase in seismic zone value. As the height of the retaining wall increases there is an increase in the deflection gave and increases there is an increase in the fundamental natural time period.

Keywords : Retaining wall, soil structure interaction, SAP2000 Version 14.0.0.

I. INTRODUCTION

1.1 GENERA1

Most of the civil engineering structures involve some type of structural element with direct contact with ground. When the external forces, such as earthquake, act on these systems, neither the structural displacements nor the ground displacements, are independent of each other. The process in which the response of the soil influences the motion of the structure and the motion of the structure influences the response of the soil is termed as soil-structure interaction (SSI). So soilstructure interaction is a collection of phenomena where response of structures caused by the flexibility of the foundation soils, as well the response of soil caused by the presence of structures is studied. In general, it lengthens the apparent system period, increases the relative contribution of the rocking component of ground motion to the total response, and usually reduces the maximum base shear. This reduction results from the scattering of the incident waves from the foundation, and from radiation of the structural vibration energy into the soil. As the soil surrounding the foundation experiences small to moderate level of non-linear response, the soil-structure interaction can lead to significant absorption of the incident wave energy, thus reducing the available energy to excite the structure.

Conventional structural design methods neglect the SSI effects. Neglecting SSI is reasonable for light structures in relatively stiff soil such as low rise buildings and simple rigid retaining walls. The effect of SSI, however, becomes prominent for heavy structures resting on relatively soft soil for example nuclear power plants, high-rise buildings and elevated-highways on soft soil.

Damage sustained in recent earthquakes, such as the 1995 Kobe Earthquake have also highlighted that the seismic behavior of a structure is highly influenced not only by the response of the superstructure, but also by the response of the foundation and the ground as well. Hence, the modern seismic design codes, such as Standard Specifications for Concrete Structures: Seismic Performance Verification JSCE 2005 stipulated that the response analysis should be conducted by taking into consideration a whole structural system including superstructure, foundation and ground.

1.1.1 Retaining Walls

Retaining wall is a structure constructed primarily to retain or support earth or some other material in a relatively vertical position on one or both sides of it at different heights. Wall structures are commonly used to support earth, loose stones, water etc. Most retaining structures are vertical or nearly so; however, if the angle α (slope of wall face with vertical) in the Coulomb earth-pressure coefficient is larger than 90°, there is a reduction in lateral pressure that can be of substantial importance where the wall is high and a wall tilt into the backfill is acceptable. Retaining walls may be classified according to how they produce stability (B.C.Punmia):

1. Mechanically reinforced earth- "Gravity walls"

2. Gravity- either reinforced earth, masonry, or concrete

3. Cantilever- concrete or sheet pile

4. Anchored- sheet-pile and certain configurations of reinforced earth.

At present, the mechanically stabilized earth and gravity walls are probably the most usedparticularly for road work where deep cuts or hillside road locations require retaining walls to hold the earth in place. These walls eliminate the need for using natural slopes and result in savings in both right-of-way costs and fill requirements.

Cantilever walls of reinforced concrete are still fairly common in urban areas because they are less susceptible to vandalism and easier to construct. The cantilever wall is the most common type of retaining wall and is economical for heights up to 8m.The lateral force due to earth pressure is the main force that acts on retaining wall which has the tendency to bend ,slide and overturn it. Concrete retaining walls provide durable solution that is required for a structure in contact with soil and exposed to constant wetting and drying. Retaining walls are designed to resist earth pressures exerted by only the weight of soil retained.

The following parameters influence the design of the retaining wall: wall height, soil type, and sloping land below and/or above the retaining wall, loads above and behind the retaining wall. Satisfying the external stability criteria is primarily based on the section giving the required factor of safety. The ratio of resisting forces to the disturbing forces is the factor of safety and this factor of safety should always be greater than 1.5 for the structure to be safe against failure with respect to that particular criteria. Different modes of failure have different factor of safety.

The cantilever wall generally consists of a vertical stem and a base slab, made up of two distinct regions i.e. a heel slab and a toe slab. All three components behave like one way cantilever slabs. The stem acts as a vertical cantilever under the lateral earth pressure, the heel slab and toe slab acts as a horizontal cantilever under the action of the resulting soil pressure.

1.1.2 Forces acting on retaining wall:

1. lateral earth pressure on retaining wall: The main force acting on the retaining wall is constituted by lateral earth pressure which tends to bend, slide and overturn it. It is given by $p = K\gamma h$

2. The vertical forces include the weight of soil, weight of stem; heel, toe slab and the soil fill above toe slab.

3. The soil pressure developed to resist the earth pressure and other vertical forces acting upwards from heel to toe.

1.2 SCOPE OF THE WORK

As per the literature survey, the scope of the present thesis is as follows;

• From previous studies it is observed that, the modeling of a structure using various elements such as solid elements has been tried. Therefore in the present thesis, an attempt has been made to model the elements of the retaining wall using four noded quadrilateral isoparametric plane strain area elements.

• It is also seen that, the soil is also modeled using spring elements or dashpots etc therefore in this present analytical investigation soil is modeled using four noded quadrilateral isoparametric plane strain area elements. • As seen from the literature review, there is a comparison of classical methods with that of the finite element packages to obtain better results. In the same context, here the displacement of the structure and the soil were computed as principal results using Finite element numerical method and analyses were performed using SAP2000 Version 14.0.0 package and finally were compared with that of classical method (conjugate beam method).

1.3 OBJECTIVES

The prime objectives of the present analytical investigation are to have a sound knowledge of the seismic response of the retaining wall which would include the following:

1. To obtain deflection values of cantilever retaining walls of different heights using the finite element package SAP2000 Version 14.0.0 and compare the same with that of the values obtained by Classical method.

2. To compare the deflection of the retaining wall modeled by classical method with that of the retaining wall modeled in actual conditions with different types of soil by SAP2000 Version 14.0.0.

3. To obtain the seismic base shear values of the three retaining walls with four different types of soil in three seismic zones.

4. To obtain the fundamental natural time period of the retaining walls and comparing the same as per their heights.

II. 1ITERATURE REVIEW

In this chapter an attempt has been made to summarize the important studies of some researchers who made an attempt to study Soil-Structure Interaction for Retaining wall type structures.

2.1 GENERA1

Eminent studies made earlier in 19th century clears that the concept of soil-structure interaction refers to static and dynamic response of the structure and the soil around it. For a realistic estimation of design forces, it is necessary to carry out analysis considering SSI. Here below are some of the studies explaining the same.

The very first fundamental solution had to await the middle of the 19th century until 1848, when Sir William ThOmsOn – better known as 10rd Kelvin (ThOmsOn, 1848) – gave expressions for the displacements elicited by concentrated static forces acting at some arbitrary point in an elastic, infinite solid medium.

GeOrge (1849), 1ucasian PrOfessOr Of mathematics at Cambridge, very shOrt1y thereafter gave the s01utiOn Of the much mOre difficu1t prOb1em Of time varying pOint fOrces in an infinite medium..

J0seph (1878), an0ther French mathematician published a series Of sh0rt papers in C0mptes Rendus that sketched a s01uti0n that gave a meth0d f0r static, vertical p0int 10ads applied 0nt0 the surface Of an elastic half-space, and als0 gave a c10sed-f0rm s01uti0n f0r a rigid disk with sm00th c0ntact 0n the surface 0f a half-space bearing vertical 10ads.

H0race (1904), Pr0fess0r Of Mathematics at the University Of Adelaide in S0uth Australia gave the m0dern integral transf0rm meth0d t0 Obtain the resp0nse t0 either impulsive (2-D) Or suddenly applied (3-D) vertical 10ads On the surface Of an elastic half-space.[N0te: the 2-D space has n0 step-10ad s01uti0n].

2.2 Soil-Structure Interaction

2.2.1 Static loading in Soil-Structure Interaction

Meyerhof (1947), found that a relatively small equal settlement of footings induces large moments and forces in structural members. In addition, he also observed transfer of load internal to external footings.

Setharamulu and Anil (1973), to understand the interaction behavior further and to obtain the stress distribution in the soil media, finite element method was been used.

Bowles (1977), considered allowable soil pressure which was based on some maximum amount of deformation including the factor of safety, thus evaluating the modulus of sub grade reaction.

Viladkar et al (1991) concluded from results that coupled finite-infinite elements together with a

non-linear stress-strain relationship for soil provide the best means of idealizing a soil-structure interaction problem.

Anirban et al (1998), experimental values are slightly more than the computational results hence, the software developed on the basis of proposed computational scheme can be used to predict increase in axial force and moments in structural members due to soil structure interaction.

Sekhar Chandra et al (1999) They observed that, columns are found to suffer due to increase in the load and settlement. So while designing these columns the effect of soil-structure-interaction must be taken into account.

2.2.2 Dynamic 10ading in Soil-Structure Interaction

Parma lee (1968) investigated and showed that the response of a single story elastic structure and its elastic foundation during the pseudo strong motion of earthquake indicated that the major influence of flexibility of the foundation medium is to modify the fundamental period of the system.

Parma lee (1971), made a parametric study and showed that it is possible to utilize the conventional seismic response spectrum to estimate the maximum flexural response of a single story structure.

Hadjian (1976), presented a paper in which he reviewed the two methods of analysis for soilstructure, the impedance method and the finite element methods with regards to their capabilities to address the significant factors of the problems.

Dowrick (1977), showed that there is a relationship between the period of vibration of structure and that of supporting soil which is profoundly important regarding seismic response of structure.

Takemiya (1977), later showed a simplified discrete model of frequency independent elements which was been presented to represent the dynamic effect of elastic half space foundations subjected to rocking and horizontal sway motions together with the foundations of the response analysis in the time domain with the use complex modes decomposition. Bolton and 1ysmer (1978), concluded that when the methods are used in conjunction with good engineering judgment and with full recognition of their limitations, they provide evaluations of response with a level of accuracy entirely adequate for engineering design.

Gazetas (1991), derived a complete set of algebraic formulas and dimensionless chart for readily computing the dynamic stiffness and damping coefficients harmonically oscillating on/in a homogenous half –space.

Romstad et al (1994), utilized a reduced order nonlinear continuum model to represent the building and the soil was represented with a simple nonlinear two dimensional plain strain finite element.

Yazdchi et al (1998), studied and presented the transient response of an elastic structure embedded in a homogenous, isotropic and linearly elastic half-plane. The results of the analysis indicated the importance of including the foundation stiffness and thus dam-foundation interaction.

Indrajit et a1 (2002), considered a structure with large degrees of freedom which can be effectively analyzed without restoring to much elaborate soil modeling and yet arrived at the result which is reasonable and effective for practical design engineering practice.

Prakash and Thakkar (2003), the objective of their study was to evaluate the effect of soil-structureinteraction on the seismic response of a massive structure with foundation using finite element discretization model.

Rajasankar et al (2007), made a study on seismic soil-structure-interaction analysis of a massive concrete structure supported on raft foundation. They conducted analysis in two phases (i) free-field analysis of the layered half space and (ii) seismic analysis of the structure by including soil-structure interaction effects. Critical examination of the results indicated tensile stresses of considerable magnitude at few locations in the rock-raft interface.

2.3 Soil Structure Interaction of Retaining wall

Ismeik and Guler (1997), studied the results of a seismic stability analysis of geosynthetic-reinforced retaining walls subjected to different seismic loading conditions.

Pinto and Cousens (1999), presented a technical paper which describes the work carried out in an experimental study on the behavior of geotextilereinforced, brick-faced soil retaining walls by means of one-fifth (1/5) scale models under normal gravity (1g) and compares the model results with data from a previous research program on prototype-scale walls.

Rajeev and Franchin (2000) considered incremental construction of the wall and excavation of the backfill, wherein the soil was modeled as elasto-plastic. Particular attention was given to the determination of the wall and soil model parameters, and the modeling of the wall-soil interface.

Aversa et al (2006), the main aim of this paper was to explore the potentialities offered by a commercially available FE code, explicitly developed for geotechnical engineering applications, in the analysis of the seismic response of an "ideal" retaining wall (a cantilevered RC diaphragm wall) in a dry granular soil.

Deepankar and Sanjay (2005), studied and presented the pseudo-dynamic method used to compute the distribution of seismic active pressure on a rigid retaining wall supporting cohesionless backfill in more realistic manner by considering time and phase difference within the backfill. Results highlighted the realistic non-linearity of seismic active earth pressures distribution.

Deepankar and Santiram (2006), gave a simplified 2-degree of freedom mass-spring-dashpot (2-DOF) dynamic model proposed to estimate the active earth pressure at the back of retaining walls for translation modes of wall movement under seismic conditions

Firas et a1 (2010), presented the earth pressure distribution generated behind a retaining wall estimated by the finite element method and were compared with that obtained from classical earth pressure theories.. Maleki and Mahjoubi (2010), presented a simple finite element model for seismic analysis of retaining walls. The model incorporated nonlinearity in the behavior of near wall soil, wall flexibility and elastic free field soil response. These distributions showed more accuracy than the popular Mononobe-Okabe equations.

III. BEHAVIOUR OF CANTI1EVER RETAINING WALL UNDER STATIC 10ADING

The present chapter deals with the static analysis of the retaining wall under dead load which is taken as its self weight and imposed load which is considered as the lateral earth pressure.

GENERA1

The following are the parameters which influence the design of the retaining wall: wall height, soil type, sloping land below and/or above the retaining wall, loads above and behind the retaining wall. Satisfying the external stability criteria is primarily based on the section giving the required factor of safety. The ratio of resisting forces to the disturbing forces is the factor of safety and this factor of safety should always be greater than unity for the structure to be safe against failure with respect to that particular criteria. Different modes of failure have different factor of safety.

Forces acting on retaining wall:

1. lateral earth pressure on retaining wall: The main force acting on the retaining wall is constituted by lateral earth pressure which tends to bend, slide and overturn it. It is given by $p = K\gamma h$ where $\gamma =$ unit weight of the earth, K=coefficient that depends on physical properties and on whether the pressure is active or passive and h is the height of the stem.

2. The vertical forces include the weight of soil, weight of stem; heel, toe slab and the soil fill above toe slab.

3. The soil pressure developed to resist the earth pressure and other vertical forces acting upwards from heel to toe.

1atera1 Earth Pressure on Retaining wall:

The main force acting on the retaining wall is constituted by lateral earth pressure which tends to bend, slide and overturn it. The basis for determining the magnitude and direction of the earth pressure are the principles of soil mechanics. The behavior of lateral earth pressure is similar to that of a fluid, with its magnitude pressure increasing nearly linearly with increasing depth h for moderate depths below the surface. p=Kyh

Where γ is the unit weight of the earth and K is a coefficient that depends on its physical properties, and on whether the pressure is active or passive. The coefficient to be used in Eq. 1.1 is the active pressure coefficient Ka, in case of active pressure, and the passive pressure coefficient Kp, in case of passive pressure, Rankin's ϕ theory is applied for cohesion less soils and level backfills and the following expressions for Ka and Kp may be used

 $"K" _"a" "= " ("1- " "sin" [f_0]"Ø")/("1+" "sin" [f_0]"Ø")) "K" _"p" "=" ("1+" "sin" [f_0]"Ø")/("1- " "sin" [f_0]"Ø")$

where ϕ is the angle of shearing resistance or angle of repose.

When the backfill is sloped, the expression for Ka should be modified as follows:

"K" _"a" "= " [("cos" $[f_0]$ " \emptyset " "- " $\sqrt{("cos" [f_0]}$ [[" θ " ^"2" "- " [["cos" $[f_0]$ " \emptyset "]] ^"2"]]))/("cos" $[f_0]$ " \emptyset " "+ " $\sqrt{("cos" [f_0]}$ [[" θ " ^"2" "+ " [["cos" $[f_0]$ " \emptyset "]] ^"2"]]))] "cos" $[f_0]$ " \emptyset " 3.1.1 Analysis

The cantilever retaining wall has been selected from the book called Design of RCC structures by B.C.Punmia. The cantilever retaining wall is modeled using SAP 2000 software with version 14.0 having X and Y as horizontal direction and Z as vertical direction. The geometry of cantilever retaining wall is as shown in the fig. The three-dimensional plain strain solid element has been selected for the members which has 6 degrees of freedom. For and validation purpose ana1ysis support condition is taken as fixity. The cantilever retaining wall is validated as given in the book called Design of RCC structures by B.C Punmia. Further the response spectrum inputs are given to the cantilever retaining wall for all types of soil and four types of zones for fixity condition.

3.2 loading (Static and Seismic)

3.2.1 Primary loads

In the present study structure is subjected to two types of primary loads, they are:

Gravity loads

1. Dead load: In calculating dead loads, the weight of retaining wall and permanent fixtures (if any) are included.

2. live load or Imposed load: Earth pressure (active and passive) exerted on the stem and base of the retaining wall is taken as imposed load on the retaining wall.

3. Seismic load (in X direction): The forces developed due to seismic excitation are considered here.

3.2.2 load combinations

For design of cantilever retaining wall any of the following load combinations which produce maximum forces and effects and consequently maximum stresses shall be chosen

1) Dead 10ad- In calculating dead 10ad, the self weight of the cantilever retaining wall is considered.

2) Imposed loads- the earth pressure due to soil is considered as imposed load on cantilever retaining wall.

3) Earthquake loads- the earthquake load is calculated in accordance with the provisions contained in IS 1893 (part1):2002

For the design of cantilever retaining wall any of the following load combinations which produce maximum forces and effects and consequently gives maximum stresses shall be chosen

1.5(Dead 1oad + imposed 1oad)

1.2(Dead 10ad + imposed 10ad + earthquake 10ad)

1.2(Dead 1oad + imposed 1oad - earthquake 1oad)

3.3 Design of cantilever retaining wall

3.3.1 Stability of a cantilever retaining wall:

Fig. 2.0 shows a cantilever retaining wall subjected to the following forces:

1. Weight W1 of the stem

3. Weight W3 of the column of soil supported on the heel slab

4. Horizontal force Pa, equal to active earth pressure acting at H/3 above the Base.

3.3.2 Overturning:

In Fig. 2.0, the overturning moment, due to active earth pressure, at toe is

Mo=Pa*H/3=KayH2/2*H/3

=КаүНЗ/6

The resisting moment is due to the weights W1, W2 and W3, neglecting the Passive earth pressure and weight of soil above the toe slab.

Hence, MR= W1 X1+ W2X2+ W3X3

Hence the factor of safety due to overturning (F1) is given by

F1= MR/MO

A minimum factor of safety due of 2 is adopted.

^{2.} Weight W2 of the base s1ab

3.3.3 S1iding:

The horizontal force Pa tends to slide the wall away from the fill. The tendency to resist this is achieved by the friction at the base

The force of resistance, F is given by $F = \mu \Sigma W$

Where μ is the coefficient of friction between soil and concrete, and _W is the sum of vertical forces.

The factor of safety F2 due to sliding is given by F2=" $\mu ~\Sigma$ W" /"H"

Where H=Pa

If the wall is found to be unsafe against sliding, shear key below the base is provided. Such a key develops passive pressure which resists completely the sliding tendency of the wall. A factor of safety of 1.5 is needed against sliding.

3.3.4 Soil pressure distribution:

If Σ W is the sum of all vertical forces and Pa is the horizontal active earth pressure, the resultant R will strike the base slab at a distance e (say) from the middle point of the base.

F2=" $\mu \Sigma W$ " /"H" Where H=Pa

1et $\Sigma M = W1 X1+ W2X2+W3X3 \gamma Pa.H/3 =$ Net moment at the toe.Then x = distance of point of application of resultant = $(\Sigma M)/(\Sigma W)$

Hence eccentricity e = b/2-x. The pressure distribution below the base is shown in Fig.1.0 The intensity of soil Pressure at the toe and heel is given by

P1 = $(\Sigma W)/b (1 + 6e/b)$ P2 = $(\Sigma W)/b (1 - 6e/b)$

P1 at toe should not exceed the safe bearing capacity of the soil otherwise soil will fail.

Similarly, P2 at heel should be compressive. If P2 becomes tensile, the heel will be lifted above the soil, which is not permissible. In an extreme case, P2 may be zero, where e = b/6. Hence in order that tension is not developed, the resultant should strike the base within the middle third.

3.3.5 Bending failure:

The heel slab will have net pressure acting downwards, and will bend as a cantilever, having tensile face upwards. The critical section will be, where cracks may occur if it is not reinforced properly at the upper face. The net pressure on toe slab will act upwards, and hence it must be reinforced at the bottom face. The thickness of stem, heel slab and toe slab must be sufficient to withstand compressive stresses due to bending.

3.4 Basic design considerations:

3.4.1 Depth of foundation:

the height of the retaining wall, above ground level is fixed on the basis of height of the backfill to be retained. The depth of foundation y should be such that good quality of soil to bear the induced pressure is available. However, a minimum depth of foundation given below by Rankin's formula should be provided:

Ymin = qo/γ (Ka)2 Where qo is the safe bearing capacity of the soil, or equal to the maximum pressure likely to occur on soil.

3.4.2 Design of stem:

The stem AB is designed as a cantilever slab, f0r triangular 10ading. At any secti0n h be10w the t0p p0int A, the f0rce is equal t0 Kayh/2 and its bending m0ment ab0ut the secti0n is Kayh3/6. The thickness at B is maximum. The minimum thickness at A sh0uld vary fr0m 20 t0 30 cm depending up0n the height 0f the wall. Reinf0rcement is pr0vided t0wards the inner face 0f stem, i.e. t0wards side 0f fill. The requirement t0wards the t0p 0f stem can be curtailed, since B.M. varies as h3. Distributi0n reinf0rcement is pr0vided @ 0.15% 0f the area 0f cr0ss secti0n al0ng the length 0f retaining wall at inner face. Similarly, at the Outer face 0f the

stem, temperature reinf0rcement is pr0vided b0th in h0riz0nta1 as we11 as in vertica1 directi0n, at the rate 0f 0.15% 0f the area 0f cr0ss-secti0n.

3.4.3 Design of hee1 s1ab:

The heel is also to be designed as a cantilever slab. It has both downward pressure (due to weight of soil and self-weight) as well as upward pressure due to soil reaction. However, the net pressure is found to act downward and hence reinforcement is provided at the upper face BC.

3.4.4. Design of toe slab:

Neglecting the weight of the soil above it, the toe slab will bend upwards as a cantilever due to upward soil reaction. Hence reinforcement is placed at the bottom face. Normally, the thickness of both toe slab and heel slab is kept the same, determined on the basis of greater of the cantilever bending moments.

After the design of cantilever retaining wall, static analysis is done for the same by classical method (conjugate beam method) to get the deflection. By plotting the bending moment and M/EI values on A0 size graphs manually for all the three retaining walls of 4 m, 6 m and 8 m the deflections of the same has been calculated. The manually plotted graphs which are scanned and adjusted to the page The X-axis represents the height of the retaining wall (m) and Y-axis represents the deflection (mm)







IV. ANA1YSIS OF RETAINING WALL UNDER DYNAMIC 10ADING NG WALL UNDER DYNAMIC 10ADING In this chapter, it is discussed about assumptions made while modeling, elements of SAP14 and modeling methodology of cantilever retaining wall in static loading and its seismic analysis.

4.1 INTRODUCTION

Earthquake response analysis is an art to simulate the behavior of a structure subjected to an earthquake ground motion based on dynamics and mathematical model of the structure. Hence the model should be selected in such a way that it should be appropriate and simple model to match the purpose of analysis and should not create misunderstanding to interpret the results in practical problems. Analytical model should be based on physical observation and its behavior under dynamic load. only elastic analysis is carried out in this study.

In the present study, two-dimensional analysis using finite element approach where ever necessary has been adopted. This finite element method is a numerical technique, in which all the complexities of the problems, like varying height, boundary conditions and loads are maintained as they are, but the solutions obtained are slightly approximate. Hence for the present study, the structure is modeled as a three–dimensional plain strain solid element using software package SAP. The method of analysis used in the present study is Response Spectrum Method (RSM).

4.2. ASSUMPTIONS MADE

• The material behavior of concrete, reinforcing steel and soil are assumed to be linear.

• At working load level the stresses developed can be expected to be within the elastic limit of the material and hence the materials are assumed to be elastic. • Full contact is ensured between the retaining wall and the soil in the analysis and no separation case is considered.

4.3 MODE1 OF STRUCTURE

All the structural systems are modeled as plane strain elements using SAP Software package. The modeling of the structural components of the retaining wall and soil in the present analysis is done using 3-D solid elements.

In the present study the three-dimensional (3D) wall and soil elements are defined from the required type of member property specified as per the cross sectional details. It has 6 Degrees of Freedom (Ux, Uy, Uz, Rx, Ry, and Rz) for each node. It can take up real constants (such as Area, Ixx, Iyy, Izz, etc.) and material constants (like density, modulus of elasticity, Poisson's ratio etc.). This can be loaded for all types of member loads (such as concentrated, distributed, trapezoidal loads etc.). The result output is represented in the form of Fx defining axial force, Fy and Fz defining shear forces, Mx, My and Mz defining torsion and bending moments with respect to the member axis

4.4 1INK E1EMENT (GAP E1EMENT)

The Tension/Compression Friction Isolator element is one of the link elements available in the SAP 2000 software program to augment the needs of different structural engineering application. This element is generally used to represent the contact between two structures to transmit the contact forces between them. Both linear and non linear options are available.

In this study the weight of the element is considered to be zero as too many such elements may exaggerate the total mass of the system. The effective stiffness value is kept equal to the stiffness of the soil. The effective damping value is maintained as 0.05, which is the same as for the concrete structure. 4.5 Seismic load (in X direction): The forces developed due to seismic excitation are considered here. The following methods of seismic analysis can be employed for calculation of seismic forces in retaining wall,

- a) Seismic Coefficient Method (SCM),
- b) Response Spectrum Method (RSM)
- c) Time History Method (THM), and
- d) Push Over Ana1ysis (PA)

4.5.1 Response Spectrum Method (RSM) is being adopted.

4.6 Modeling Methodology

The modeling of the cantilever retaining wall along with the soil around and beneath it is done using the above described elements in SAP. The modeling procedure follows the steps described as per the manual from the package.



V. RESUITS AND DISCUSSION

This chapter presents results of Static and Dynamic analysis carried out for the cantilever retaining wall as per the method of analysis described in Chapter 3 and 4.

The results presented are discussed in detail with reference to relevant tables and figures.

5.1 Genera1

The present analytical study carried out comprises primarily of static and dynamic analysis of cantilever retaining wall by classical method (conjugate beam method) and the method of 'Response-Spectrum' presented in IS1893:2000, using SAP2000 Ver14.0.0 software respectively. Various parameters are chosen in the present study and also the variation in response of the structure are studied by varying the soil properties (modulus of elasticity and Poisson's ratio) and the Relative fixity of foundation and the soil beneath considered in this study..

The following parameters of the cantilever retaining wall for static and dynamic analysis are studied, viz,

1. Fundamental Natural Period

2. Base Shear due to seismic excitation

3. Max. 1atera1 Disp1acement due to seismic excitation

The variations in the aforementioned parameters are studied by varying the following parameters, viz,

1. Soil type (soft, medium, Soft rock and hard rock)

2. Height of the cantilever retaining wall (4, 6 & 8 m)

3. Structure in Different Zones (III, IV & V)

5.2 Static analysis-Variation in Displacement

The variation in the displacements for three different heights (4 m, 6 m and 8 m) of retaining wall are presented in table 5.1 to 5.3

Distance from	Deflection			
fixed end (m)	Conjugate beam method	SAP	% variation	
0	0	0	-	
0.1	0.015	0.06	300	
0.2	0.025	0.11	340	
0.3	0.05	0.18	260	
0.4	0.1	0.26	160	
0.5	0.16	0.35	119	
0.6	0.24	0.46	92	
0.7	0.32	0.57	78	
0.8	0.42	0.69	64	
0.9	0.53	0.82	55	
1.0	0.65	0.96	48	
1.1	0.77	1.11	44	
1.2	0.91	1.26	38	
1.3	1.05	1.42	35	
1.4	1.21	1.58	31	
1.5	1.35	1.75	29	
1.6	1.53	1.92	25	
1.7	1.69	2.09	24	
1.8	1.87	2.27	21	
1.9	2.05	2.45	20	
2.0	2.24	2.63	17	
2.1	2.43	2.81	16	
2.2	2.62	2.99	14	
2.3	2.81	3.17	13	
2.4	3.01	3.36	12	
2.5	3.21	3.54	10	
2.6	3.41	3.73	9	
2.7	3.61	3.91	8	
2.8	3.82	4.1	7	
2.9	4.02	4.27	6	
3.0	4.23	4.46	5	
3.1	4.43	4.64	5	
3.2	4.64	4.82	4	
3.3	4.84	4.99	3	
3.4	5.05	5.18	3	
3.5	5.26	5.36	2	
3.6	5.46	5.54	1	
3.65	5.67	5.72	1	

Table 5.1: Horizontal Deflection of 4 m Height Retaining Wall

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	0.000	0.14	27800	12	9.35
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10	0000	0.00	18900	1.8	1355
12	003	1.50	5107	2	1,745
1.4	0.032	1.95	5994	2.2	2.18
1.6	0.21	2.65	1114	2.4	2.655
1.8	640	521	555	2.6	5.165
2.0	0.77	3.67	577	2.8	3.72
2.2	1.09	4.15	282	3	431
2.4	1.67	4.92	195	3.2	4.925
2.6	254	\$72	244	3.4	5.575
2.8	2.84	6.26	120	3.6	6.6
3.0	247	7.06	93	3.8	7.32
3.2	4.56	7.90	74	4	8.62
3.4	519	8.49	64	4.2	9.6
3.6	62	9.34	51	4.4	10.4
3.8	6.59	9.9	44	4.6	11.21
40	7.67	10.78	35	4.8	12.03
4.2	8.71	11.24	30	5	12.87
44	9.54	17.18	24	5.2	13.72
4.6	10.00	42.06		5.4	14.55
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				5.8	16.31
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5.2.1 Static analysis



The results of static analysis of the cantilever retaining wall using SAP 2000 VER14.0.0 are tabulated here and to give a clear picture of this graphical presentation of the same is been done. The variation in the displacements of the three retaining walls with varying soil type are compared with the standard retaining wall with fixed base and corresponding values are represented in the graphical form as below.



5.3 Dynamic analysis - Variation Displacements

The results of dynamic analysis of the cantilever retaining wall using SAP are tabulated here and to give a clear picture of this, graphical representation of the same is been done. The variation in the maximum horizontal displacements of the three types of retaining walls with varying soil type and zones are compared with the retaining wall with soil and corresponding values are represented in the graphical form as below.

5.4 Dynamic analysis –Variation in Base shear

The maximum horizontal base reaction values of the retaining walls both in static and dynamic analysis are noted and their difference is taken as the Base Shear values and is tabulated as follows;

| Soil type
 | RW in
static
 | RW in
dynamic | % variation in deflection | 1 | Soil type

 | RW in
static | RW in
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 | analyziz | analysis | | | Soil 1(soft) | 26.5
 | 31.8 | 20 |
| Soil 1(soft)
 | 6
 | 62 | 333 | | Soil 1(soft)

 | 17 | 17.1 | 0.58 | | Sol 3(medium) | 143
 | 113 | 23.78 |
| Sol 2(mediam)
 | 3.5
 | 3.6 | 2.86 | - | Sol 2(medium)

 | 1 1 | 8.2 | 20 | - | Soil 3(soft rock | 12.4
 | 162 | 30.65 |
| Soil 3(soft rock)
 | 3.3
 | 3.7 | 12.12 | - | Sol s(soft rock

 | 9 7 | 7.2 | 238 | - | 1 DOIN (MATCHING & | 11 113
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 | 35.7 | 34.72 |
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 | \$ | 8.9 | 11.25 | 1 | Sol 2(medium) | 143
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The fundamental natural time period of the three retaining walls is presented in the table 5.29 below;

Table 5.29: Fundamental Natural Time Period

Height of the Retaining wall	Type of Soil	Time period (Seconds)	
	Soil 1	0.467	
4 m	Soil 2	0.064	
4 m	Soil 3	0.052	
	Soil 4	0.0407	
	Soil 1	0.86	
h	Soil 2	0.281	
pm pm	Soil 3	0.117	
	Soil 4	0.0766	
	Soil 1	2.27	
0	Soil 2	0.737	
a m	Soil 3	0.297	
	Soil 4	0.182	

VI. CONCLUSION

The results presented in chapter 5 are summarized and concluded in the present chapter.

Dynamic distress and resp0nse Of the cantilever retaining wall was studied cOnsidering six degree Of freed0m system. F0r the validati0n purp0se, in the retaining wall, suppOrt cOnditiOns were cOnsidered tO be fixed. FOr the analysis, the inputs were density Of cOncrete, mOdulus Of elasticity Of cOncrete, density and SBC 0f s0i1, m0du1us 0f e1asticity 0f s0i1, angle Of internal frictiOn and 10ading (active and passive earth pressure). The targeted Outputs were found as seismic base shear, fundamental natural peri0d and maximum lateral displacement. Finally the resp0nse spectrum inputs were given t0 the retaining wall f0r a11 the f0ur types 0f s0i1s (s0ft, medium, s0ft r0ck and hard r0ck) and three types 0f seismic z0nes (III, IV and V).

The deflection obtained by classical method (conjugate beam method) and that of the SAP modeled retaining wall was compared. When the retaining wall was analyzed using classical method and Response Spectrum analysis for four different types of soils and three seismic zone considering base as fixed, the obtained results showed the importance of soil structure interaction effects. The results 0f the analysis leads t0 the f0110wing br0ad c0nc1usi0ns.

• In the soils having comparatively less stiffness (modulus of elasticity), the effect of soilstructure interaction is prominent as these could tend to increase or decrease the response as compared to the fixed base.

• The static deflection obtained by classical method (conjugate beam method) was compared with that of the SAP modeled retaining wall and was found that it varies linearly. That is the percentage variation in the deflection is 900% (avg) towards the fixed end and converges to 1% towards the free end.

• The deflection at the free end of the cantilever retaining wall increases with the increase in the height of the retaining wall that is, 5.98 mm in 4 m, 16.9 mm in 6 m and 23.8 mm in 8 m retaining wall respectively.

• The deflection at the free end of the cantilever retaining wall decreases with the increase in the stiffness of the soil. The drop in the deflection in 4 m height retaining wall is within the range, 5.98 mm in soil1 to 3.22 mm in soil4, in 6 m height retaining wall the value ranges from 16.69 mm in soil1 to 6.05 mm in soil4 and in 8 m height retaining wall the value lies within the range 23.8 mm in soil1 to 17.07 mm in soil4.

• The deflection at the free end of the cantilever retaining wall increases with the increase in the seismic zone. The increase in the deflection for 4 m height retaining wall ranges from 6.2 mm in zone III to 6.77 mm in zone V, in 6 m height retaining wall the value lies within 17.1 mm in zone III to 17.3 mm in zone V and in 8 m height retaining wall the value ranges from 31.8 mm in zone III to 35.7 mm in zone V.

• The seismic base shear depends on the stiffness of the soil that is as the stiffness increases there is an increase in the seismic base shear of the retaining wall. In 4 m height retaining wall, the base shear value ranges from 0.97 KN to 3.72 KN, in 6 m range is from 0.0 KN to 7.59 KN and in 8 m the range is from 16.16 KN to 28.27 KN. • The seismic base shear depends on the height of the retaining wall that is as the height increases there is a drop in seismic base shear. The maximum base shear for 4 m height retaining wall is 31.5 KN, 7.59 KN in 6 m height retaining wall and 28.27 KN in 8 m height retaining wall.

• The seismic base shear also depends on the seismic zone that is, as the seismic zone increases there is an increase in the seismic base shear. In 4 m height retaining wall, the base shear value ranges from 0.97 KN to 3.72 KN, in 6 m range is from 0.0 KN to 7.59 KN and in 8 m the range is from 16.16 KN to 28.27 KN.

• The fundamental natural time period of the retaining wall depends on two major parameters i.e. height of the retaining wall and stiffness of the soil.

• As the height of the retaining wall increases there is an increase in the fundamental natural time period. For 4 m, 6 m and 8m retaining wall the fundamental natural time period is 0.467 seconds, 0.86 seconds and 2.27 seconds respectively.

• As the stiffness of the soil increases there is a drop in the fundamental natural time period. For 4 m retaining wall the value ranges from 0.467 seconds in soil 1 to 0.0407 seconds in soil 4, for 6 m retaining wall range is from 0.867 seconds in soil 1 to 0.076 seconds in soil 4 and in 8 m retaining wall value ranges from 2.27 seconds to 0.182 seconds.

VII. SCOPE FOR FURTHER STUDIES

The present analytical study shall be possibly extended as presented below:

• Soil-structure interaction effect is taken into account by modeling the soil stratum using solid elements, analysis can be done by assigning spring stiffness to the stem and at the base of the cantilever retaining wall.

• In the present investigation, the effect of damping is neglected, and hence one may revisit the problem by considering the damping.

• The present analytical investigation mainly deals with the soil structure interaction effects on seismic response of cantilever retaining wall by Response Spectrum Analysis method. The investigation can be extended to Non-linear Time History analysis and push over analysis to know the extent of interaction effects on the characteristics of seismic excitation.

• There are many other finite element packages such as ANSYS, ETABS etc which can be used further for studies carried out in this respect.

VIII. REFERENCES

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