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# A Review on Face Recognition in Video Images

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# ABSTRACT

There has been a lot of study done on face recognition using images of faces, but there hasn't been nearly as much done on video-based face recognition. Recently, scientists have dedicated a lot of time and energy to solving the challenge of facial recognition. The purpose of this study is to present an up-to-date overview of key research that has been done on human face recognition using video images. In the first part of this article, we will provide an overview of face recognition and the uses it has. The next step is a discussion of a literature study on the most recent developments in face recognition algorithms. Although many still pictures or video sequences may be degenerately treated as a single still image, they really possess a number of unique properties of their own. Three characteristics that may be seen in a series of still photographs or videos are summarized in this chapter. Then, using the exhibited qualities, we discuss newly suggested methods such as Eigen-faces, Neural Networks, Fisher Discriminant Analysis (FDA), Artificial Neural Network Fuzzy classification, and Genetic algorithm.

**Keywords**— Face recognition, Video images, soft computing, PCA, LDA, FDA, Adaptive Skin color model, Eigen-faces. Artificial Neural Network, Fuzy Logic and Genitic Algorithm.

# I. INTRODUCTION

Face recognition is a significant research issue that crosses many professions and disciplines. This is because of the many practical applications of facial recognition technology, such as in ID verification, criminal background checks, bank card verification, security and surveillance, and mug shot searches. It's a trait shared by all humans and crucial for effective communication and social engagement. As video recording technology has become more commonplace, researchers have begun to concentrate their efforts on video-based scenarios in which facial recognition may be used. In video-based face recognition, the video sequence of an individual is used as the query or target in order to identify that person. There are primarily three instances when video-based facial recognition is useful. An example of this is the V2S (Video-to-still) scenario, which evaluates a user-provided video against a database of still images of a person's face (mug shots, ID pictures, licence photos, etc.), These are often consumed in well regulated settings, and as a result are of superior quality. Systems for screening watch lists often need to deal with this circumstance. Instead, In the S2V (Still-to-Video) scenario, In order to find a person of interest in recorded surveillance footage, the application compares a still face snapshot to a database containing video sequences. The third and final scenario is referred to as the "V2V (Video-to-



Video) case," and it involves comparing a single video sequence to a group of target video sequences. Such a system may be put to use, for instance, to locate a missing person by comparing and contrasting images from several surveillance cameras.

# II. LITERATURE REVIEW

Citation	Author	Methodology	Results	Limitations
no.				
[1]	Zhiwu	a benchmarking and comparing	Their face recognition	The development
	Huang,	research that was based on the	approaches for V2S/S2V tasks	of practical video-
	Shiguang	freshly compiled COX1 Face DB	may also be used to the V2V	based facial
	Shan,	still/video face database viewed	task, and they ultimately get	recognition
		and experimentally contrasted	encouraging results for this	applications
		several set-based techniques in	test.	requires
		use included a brand-new Point-		additional work.
		to-Set Correlation Learning		
		(PSCL) technique.		
[2]	Daniel	A deep convolutional neural	The total success rate for both	longitudinal video
	Schofield,	network (CNN) method is used	name recognition and sex	archives and their
	Arsha	and provided a completely	categorization was 92.5%.	promise to address
	Nagrani	automated pathway for the	They created co-occurrence	fundamental
		identification of faces in long-	matrices using the recognised	issues in
		term video recordings of wild	faces to track changes in the	conservation and
		chimpanzees.	social network structure of an	behaviour.
			ageing population.	
[3]	Guodong	The strategies for recognising	Deep approaches have shown	In practise, there
	Guo Na	faces using deep learning were	to be effective in a variety of	are still some
	Zhang	given and reviewed in detail.	areas, including the processing	challenges.
		About 330 papers in this field	of RGB-D and video in	
		have been summarised that	addition to heterogeneous face	
		suggest various deep learning	matching. We have also	
		techniques. It examined key deep	offered face databases that	
		learning theories present a brief	include related information,	
		summary of studies on problems	photos, videos, and to different	
		relevant to face image analysis	types of face data, enabling	
		and face recognition, such as	cross-modal FR. Many	
		adapting for changes in stance,	significant steps forward have	
		age, lighting, mood, and	been made because of	
		heterogeneous face matching.	developments in face	
			recognition technology, even if	
			it can only work with static	
			images.	



[ 4 ]	V: Chan	The south on the stress little states of		N
[4]	ri-Cnen	The author developed the idea of	According to experimental	Next-generation
	Chen,	face recognition using video	findings on three separate	video
	Vishal M.	dictionaries, which generalizes	datasets, individuals perform	identification
	Patel	the work done with sparse	best at face and body	algorithms should
		representation as well as face	recognition while observing	make effective use
		recognition using still image	the subject in motion.	of both facial and
		dictionaries.		bodily features.
		Unrestricted video sequences are		
		the goal of the Face as well as		
		Ocular Challenge Series (FOCS)		
		Video Challenge, was used by the		
		author to illustrate their		
		methodology.		
[5]	Hao yang	The author primarily establishes	In experiments, The video face	The system has
	and	four directions to consider the	recognition system's success	achieved
	xiaofeng	issues: Face recognition	rate has been calculated, and it	significant
	han	attendance monitoring system	stands at 82% at present. The	advancements
		interface settings; actual check-in	facial recognition attendance	that have
		accuracy rate of the a face	system may minimize the time	significantly
		recognition system: face	it takes to check in by roughly	increased
		recognition attendance system	60% The phenomenon of	attendance rates
		absenteeism rate. In conclusion	pupils leaving class early and	and the accuracy
		we suggest implementing a face	aking it has significantly	of facial
		we suggest implementing a face	decreased in fragmentarity	01 IdCidi
		recognition system.	decreased in frequency.	tecognition
				technology. It
				merits further
				scientific
				investigation and
				understanding.
[6]		For video face recognition, the	The proposed C-FAN network	Future research
		author proposes a new approach	effectively aggregates data to	will look at an
		When several face photos are fed	reach state-of-the-art	aggregation
		into a component-wise feature	performance feature vectors	network that
		aggregation network (C-FAN),	from across all video frames to	combines several
		and a single feature vector is	generate The results of	degrees of fusion.
		generated to be utilised for	experiments on 3 benchmark	
		recognition. To train the whole	datasets YouTube Faces, IJB-A,	
		network, there are two stages: To	as well as IJB-S—show that the	
		recognise faces in videos, I first	512-dimensional feature	
		train a standard convolutional	representation is compact	
		neural network (CNN) on still	enough to represent merely a	



images, and Then, In order to video sequence.	
gather the quality score for every	
feature piece, I have an	
aggregation module added to a	
original network.	

#### **III. METHODS**

## A. Eigen-faces:

A collection of eigenvectors called Eigen-faces is used to the computer vision issue of recognizing human faces. They make reference to an appearance-based method of face recognition that aims to identify variations among a group of face photographs and then uses this data to encode and contrast pictures of different faces.

The issue of precisely which components of the face stimulus are crucial for identification has been largely disregarded in earlier automated face recognition research, which assumed that predetermined measures were adequate and relevant. This gave rise to the idea that coding and decoding face photos according to information theory would help us understand the information contained in them by highlighting important local and global "features." It's possible that these traits have little to do with how our eyes, nose, lips, as well as hair are seen. The objective is to use information theory to facial images in order to extract the most relevant information, encode it, and then compare it to a database of encoded models. Unaffected by any evaluation of features, capturing variance in a group of face photographs and The information contained in a face picture may be easily extracted by utilising it to encode as well as compare several versions of the same face. Either the main components of a distribution of a collection of face photographs or the eigenvectors of their covariance matrix must be found. These eigenvectors may be thought of as a set of characteristics that, when combined, characterise the diversity in face images. Since each pixel in the picture contributes differently to the total eigenvector, we may think of the Eigen face as a form of spectral face.

It's possible that a combination of Eigen faces may represent each face picture in the training set. There are as many possible Eigen faces as there are images of human faces in the training set. You may get a close approximation of the faces by using just the "best" Eigen faces, which ones have the largest eigenvalues and hence explain the most variation in the collection of faces. The efficiency of computing is the main justification for employing fewer Eigen faces. The largest M' Eigen faces occupy a region of "face space" that is M' dimensions in size. Eigen functions of linear systems as well as the fundamental functions of the a Fourier decomposition (sinusoids with varying frequency and phase) form the basis vectors of a Eigen face decomposition.

The stages involved in recognition are outlined below:

- Initialization: The Eigen faces, which characterise the face space, cannot be calculated without first obtaining the training dataset of facial images.
- When a new face picture is encountered, the input image is projected onto each of the M Eigen faces to generate a set of weights.



- Check to see whether the picture is sufficiently near to "face space" to determine if the image is indeed a face (whether known or unknown).
- When a face is detected, the individual's unique weight distribution is classified as either known or unknown.

## B. Neural Networks:

#### • Feature extraction:

Face representational data or feature vectors are the target of feature extraction. There are three primary techniques for obtaining features: Three common statistical methods are principal component analysis (PCA), linear discriminant analysis (LDA), as well as Fisher discriminant analysis (FDA).

#### • Principal Component Analysis (PCA):

The information theory method is used to guide the usage of PCA for face recognition. It effectively extracts the pertinent data from a face image and encodes it. The training face image data's subspace in the image space is identified, and the pixel values are de-correlated. The traditional depiction of a face is achieved by projecting the original face picture onto a coordinate system established by its basic components. Information compression, decorrelation, and dimensionality reduction are all achieved by projecting facial images into the main component subspace, which aids in decision-making. For the most relevant components in the face distribution, one may use mathematics to search for eigenvectors of the covariance matrix of a collection of face images.

#### • Linear discriminant analysis (LDA):

In machine learning, linear discriminant analysis is used to find the best linear features to use when dividing data into several groups. Next, a linear classifier is built from the resulting combinations. Since a multidimensional area is mapped into the a space with fewer dimensions, this is also considered feature reduction before further categorization. Linear discriminant analysis is often used in contexts involving classification. In the case of face recognition, for example, the numerous pixels that make up a face are first converted into a smaller number of linear combinations so that they may be sorted into appropriate categories. Fisher-faces are the linear combinations that can be found using LDA. Face identification uses linear discriminant analysis (LDA), whereas eigenfeature discriminant analysis is used for face retrieval. The LDA is a method for representing an image that draws attention to its discriminatory character by projecting it onto a system of fisher-faces with nonzero eigenvalues. In LDA, we choose the linear subspace which maximises the quotient:

$$\frac{\left|\Phi^{T}S_{b}\Phi\right|}{\left|\Phi^{T}S_{W}\Phi\right|}$$

$$S_{b} = \frac{1}{c}\sum_{k=1}^{c}(\mu_{k}-\mu)(\mu_{k}-\mu)^{T}$$

is the between-class scatter matrix, as well as

$$S_{w} = \frac{1}{M} \sum_{k=1}^{c} \sum_{i \mid x_{i} \in C_{k}} (x_{i} - \mu_{k}) (x_{i} - \mu_{k})^{T}$$

the within-class scatter matrix,



where *c* is the client count, *M* is the sample size of face photos used for training,  $x_i$ ,  $\mu$  is the grand mean, as well as  $\mu_k$  is the mean of class  $C_k$ .

# • Fisher Discriminant Analysis (FDA):

One of the most important feature extraction methods is Fisher discriminant analysis. Fisher discriminant analysis is often used in two different ways: the Foley-Sammon linear discriminant analysis (FSLDA) as well as the uncorrelated linear discriminant analysis (UCLDA). Studies demonstrate that each FSLDA discriminant vector's Fisher criteria value is consistently greater than the value of the equivalent ULDA discriminant vector. This might be seen as a benefit for FSLDA as a higher Fisher criteria value for a discriminant vector indicates stronger discriminability. While FSLDA often gets correlative feature components and perhaps extremely correlative feature components, ULDA invariably receives the uncorrelated feature components. It seems that discriminant vectors perform better when the feature components they extract from data are less correlated to one another. Therefore, removing uncorrelated feature components provides a benefit for ULDA in this regard. The best discriminant vectors seem to also correlate to the lowest correlations between the retrieved feature components and the maximum Fisher criteria values. Unfortunately, neither ULDA nor FSLDA can provide such perfect discriminant vectors. Additionally, neither the FSLDA nor the ULDA are particularly effective. We may assume that, for discriminant vectors, there is an equilibrium between values on the Fisher criteria that are high, low correlation between recovered features components, with excellent discriminant vector performance.

# C. Neural Networks:

Neural networks are a very efficient and trustworthy classification approach that may be used to make predictions both for known and unknown data. It performs well on nonlinear and linear data sets that can be kept apart. Voice recognition, face recognition, fingerprint recognition, iris recognition, as well as scene interpretation are just some of the many uses that have been found using NN. Synthetic "neurons," or "nodes," form the backbone of an ANN. These nodes are connected by edges, and the strength of the excitation (maximum value +1.0) or inhibition (minor value -1.0) at each node is indicated by its connection (maximum value -1.0). (a -1.0 maximum is possible) High values for connections stand for robust ties. Each node is built with a transfer function built in. An ANN consists of three distinct sorts of neutrons: input nodes, hidden nodes, as well as output nodes.



Figure 1NN



Data may be entered as numerical expressions into the input nodes. Activation values are used to display the information, with larger values indicating more robust activity at the corresponding nodes. The data then arrives to the network. The amount of activation that is transmitted from node to node depends on a number of factors, including weights, inhibition or excitation, and transfer functions. Values of activation received from each node are added, and then the sum is scaled up or down depending on the node's transfer function. Before reaching the final output nodes, the activation passes via the network's "hidden layers." As a result, the meaningful is mirrored in the nodes of the output graph.

## D. Artificial Neural Network:

Gender categorization, face recognition, and expression classification are just some of the pattern classification problems that ANN machine learning has been used to. An ANN classifier offers excellent generalization and strong learning capabilities, which are benefits for classification. The need to oversimplify the classifier is avoided when an ANN is used, because it may be taught, using the features vector as input, a sophisticated mapping for classification. Face recognition systems that make use of neural networks and other learning approaches have been implemented owing to their promise for greater generalisation.

The artificial neural network (ANN) paradigm used here is the multilayer feed-forward network (MFNN). For those unfamiliar, an MFNN is a subset of non-linear networks that consists of inputs (the input layer), hidden non-linear neurons, as well as an additional non-linear output layer. MFNN's highly adaptable non-linear structure makes it a powerful tool for dealing with a broad range of difficult pattern recognition as well as regression issues. As a supervised learning technique, Showing the network examples from the a training set over and over again while modifying the neural weights to obtain the desired output is what error-correcting back-propagation is all about. How you train a network to perform a specific task. For the sake of the greatest possible descent, the weight matrices in a gradient descent algorithm are adjusted. It's important to chooseIn, the learning constant, carefully. The method may frequently overshoot the answer if it is too big, meaning that convergence may be slow or may not occur at all. If it's too little, the algorithm will move very slowly toward the answer, which will again cause a delayed convergence and raise the likelihood that the algorithm would become trapped in local minima. Momentum and adaptive learning are the two basic strategies for solving these issues. If we are continually moving in the same way as the momentum technique dictates, then we want to develop some momentum in that direction. If successful, this will help overcome any small local minima as well as speed up the convergence process.

Standard:  $\Delta w(t) = -\eta \nabla E(t)$ 

Momentum:  $\Delta w(t) = -\eta \nabla E(t) + \alpha \Delta w(t-1)$ 

where  $\alpha$  is the momentum term.

To prevent overshooting, It is common practise for adaptive learning rates to begin with a high value and gradually decrease as we get closer to the answer. The feature extraction module's output data serves as the training's input data.

## Euclidean distance (E.D.):

The closest mean classifier, often known as the Euclidean distance, is a common measure of distancing in the context of decision rules.

 $d_{E}(x,w_{k}) = \sqrt{\left(x-w_{k}\right)^{T}\left(x-w_{k}\right)}$ 



# Fuzzy classification:

The fuzzy set theory-based computer framework known as fuzzy inference systems (FIS) has been effectively used in a variety of applications. Success is primarily attributable to their compatibility with human perception and reasoning, as well as to the fact that they are easy to use and intuitive, all of which are crucial for system acceptability and usage. Unit fuzzification, rule base, inference engine, and defuzzification are crucial components of a fuzzy inference system. Fuzzification is the process of changing a variable's clear value to a cryptic one. Several fuzzy rules make up the rule basis. The inference engine calculates the outcome of a blanket application of all rules using the current values of any and all fuzzy variables. The defuzzification unit determines the defuzzified value of the inference engine's total fuzzy output.



# Recognition system:

Several 2D images will be gathered while video is being acquired using a camera. One or more persons may be seen in every image. The initial phase is segmenting the images in order to identify areas of interest that correlate to the various faces in each frame before doing face detection. Once an area of interest has been identified, Next, we proceed to the classification phase, which enables us to assign a percentage of likelihood that each recognised face belongs to each face class. The figure illustrates the fuzzy recognition system's organisational structure.



Figure 3 Structure of Fuzzy recognition system



# Structure of the fuzzy controller:

Following is a formulation of the fuzzy problem of face recognition:

Let  $C = \{C_1; C_2; ...; C_m\}$  be a collection of m face classes that are kept in a database, with m standing for the total number of classes.

Let  $X_{i}$  be a face j's characteristic vector containing d real values.

$$\begin{split} X_{j} &= \left(e_{1}; e_{2}; \dots e_{d}\right) \in \Re^{d} \\ f &: \Re^{d} \longrightarrow \left[0, 1\right]^{m} \\ f &\left(x_{j}\right) = \left(\mu_{1}\left(X_{j}\right); \dots; \mu_{m}\left(X_{j}\right)\right) \end{split}$$

Where  $\mu_i(X_i)$  represents the degree of membership of the face  $X_i$  to the class  $C_i$ 

A system called a fuzzy controller has many inputs and many outputs. Each set of input values has a unique set of output values in this controller. Giving each identified face j a vector  $D_j$  with real values between 0 as well as 1 describes the degree to which the face j belongs to each class  $C_i$  in this fuzzy clustering approach.

The figure below illustrates the fuzzy controller's structure.



## Figure 4 Structure of fuzzy controller

Three matrices  $M_k$  (k=1-3), whose column shows faces and those whose lines represent face classes, are produced via this fuzzy classification.

$$M_{k} = \begin{bmatrix} D_{c_{1}}(x_{1}) & \cdots & D_{c_{1}}(x_{n}) \\ \cdots & \cdots & \cdots \\ D_{c_{m}}(x_{1}) & & D_{c_{m}}(x_{n}) \end{bmatrix}$$

Where,

 $M_1$ : Matrix of the fuzzy set "bad similarity"



- $M_2$ : Matrix of the fuzzy set "average similarity"
- $M_{\rm 3}\colon$  Matrix of the fuzzy set "good similarity"
- $D_{c_i}(x_i)$ : Degree of membership of the face  $x_i$  to class  $C_i$

With:

$$0 \le D_{c_i}(x_j) \le 1 \text{ and } \sum_{i=0}^{m} D_{c_i}(x_j) = 1$$
$$D_{c_i}(x_j) = \frac{dist(x_j, c_i)}{\sum_{k=1}^{m} dist(x_j, c_k)} \text{ pour } 0 \le j \le n-1$$

Where:

m: represent the number of classes,

n: represent the number of face t classify,

 $c_{i:} \ is the characteristic vector of the center of gravity of the class <math display="inline">i,$ 

dist ( $x_j$ ,  $c_i$ ): represent the Euclidian distance enter a face  $x_j$  as well as the center of gravity of the class  $c_i$ . Fuzzification involves applying the preceding formula on the matrix  $M_k$  in order to calculate it. It permits the conversion of digital data into language variable.

Each face in our article is defined by four factors.

- The skin tone
- The horizontal eye-width (Hb). The (D1) Distance
- The distance between the lips as well as the eyes (D5 et D4)



Figure 5 The parameters characteristic of the face

Since there might be several fuzzy subsets of an input value, the purpose of the fuzzy inference process is to calculate the relative degrees of membership for each. A facial membership function for each fuzzy collection is therefore unnecessary. Good similarity, medium similarity, as well as awful similarity fuzzy sets were used.



## Genetic algorithm:

It is a kind of a random search algorithm motivated by both genetics and natural selection. After each cycle, a pool of potential answers is maintained by simulating biological reproduction, crossover, and mutation, and On the basis of some measure, the best people are selected from the groupings of solutions. Genetic operators combine individuals to produce fresh generations of solutions until the convergence index is reached.

A genetic algorithm consists of four main components: the genetic operators (selection, crossover, as well as variation), the fitness function, the coding method, and the settings for control. The potential answers to the issue will be encoded into chromosomes, or persons, when a genetic algorithm is employed to solve it. A group of people get together to produce the first solution. The people meeting the termination requirements may be output after computing the fitness function, and the procedure is then complete. If not, people will mix, mutate, and recombine to create the subsequent population. The previous generation's positive traits are passed down to the next population, which is superior to it, allowing it to steadily progress toward a better solution.

It is clear from the previous introduction that genetic algorithms provide a generic framework for resolving complex system optimization issues that is independent of the area and kind of issues. Fitness function is the foundation of the genetic algorithm. Rearranging the population's unique structure may be done in cycles by applying genetic operations to its members. There are many straightforward genetic algorithm models that fit these descriptions:

As a formula: C- unique coding approach

- E-Individual fitness evaluation functionality
- P0- initial population
- N- Population size
- U- Selection operator
- C-Crossover operator

W-Mutation operator

T- Genetic operation's termination condition

**1.** Initial population coding and generation

Select the best method of coding for the problem at hand. As well as create a population of N chromosomes with a predetermined length at random.

 $f_i = fitness(pop_i(t)) pop_i(t), t = 1, i = 1, 2, ....N$ 

## 2. Evaluation of Health and Fitness Potential

Each chromosome's fitness in population pop (t) is determined by its fitness value, tpop (t).

$$f_i = fitness(pop_i(t))$$

**3.** Assess whether or not the convergence condition holds. The procedure will continue if the search results returned are appropriate.

4. Select operation

Each person's likelihood of being chosen is based on their level of fitness.

$$p_i = \frac{f_i}{\sum_{i=1}^{N} f_i}, i = 1, 2, 3, \dots N$$



Next-generation populations are generated by randomly selecting individuals from the above probability distribution, which is based on the current generation's population TPOP (t).

$$newpop(t+1) = \{pop_j(t) | j = 1, 2, ..., N\}$$
  $newpop(t+1) = \{pop_j(t) | j = 1, 2, ..., N\}$ 

**5.** Cross operation

By mating with probability Pc, N chromosomes tcrosspop (t + 1) were produced.

**6.** Mutation operation

To cause chromosomal genes to mutate, use a lower probability Pm. The t mutpop (t + 1) population is created. The offspring of a geno-surgery are referred to as the pop (t) = mutpop (t + 1) population. It's the progenitor of the next genetic operation and loops back to 2 at the same time.

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